# CUSTOMER NO. 32,986 IP STRATEGY GROUP, P.C.

Intellectual Property Law Office P.O. Box 700640 San Jose, CA 95170-0640

## PATENT APPLICATION

ATTORNEY DOCKET NO. FFRT-P003

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. 10/810,061

Filed: 03/25/2004 Title: COMPUTER-IMPLEMENTED TRADING IN FREIGHT DERIVATIVES

AND TECHNIQUES THEREFOR

Examiner: Unknown
Group No.: 3694
Confirmation No. 7853
Certificate of Electronic Transmission

I hereby certify that this paper or fee is being electronically transmitted to the U.S. Patent Office on March 8, 2007 via EFS

filing. Total transmission: 2 pages.

Signed: /Cassandra Reynolds/ Cassandra Reynolds

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are not attached, may be material to the patentability of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-2284 (Order No. FFRT-P003).

Respectfully submitted,

By: \_\_/Joseph Nguyen/\_\_ Reg. No. 37,899 Joseph Nguyen

Pg. 1 of 2

Serial No. 10/810,061

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lurent et al. Examiner: Unknown
Application No. 10/810,061 Group No.: 3624
Filed: 10/810,306 Confirmation No. 7853

Title: COMPUTER-IMPLEMENTED TRADING IN FREIGHT DERIVATIVES AND TECHNIQUES THEREFOR

## **FORM 1449**

### **U.S. Patent Documents**

Examiner						
Initial	Cite	Document Number	Issue	Publication	Name of Patentee	Reference to
	No.		Date		or Applicant	Related Cases
	1	2004-0254807 A1	12/16/2004		Laurent et al.	
	2	2004-0249699 A1	03/25/2004		Laurent et al.	
Examiner				Date Considered		

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.